

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mai/in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By: _____ Date: <u>October 7, 2003</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.

10/653,794

Applicant Filed

Gunther Steinle et al. September 3, 2003

Art Unit

to be assigned

Examiner

to be assigned

Docket No.

M&N-IT-426

Customer No.:

24131

LETTER

Hon. Commissioner for Patents, Alexandria, VA 22313-1450

Sir:

The above-mentioned new patent application was filed on September 3, 2003 without a signed oath or declaration, under the provision of 37 C.F.R. 1.53(f).

In accordance with the above-mentioned rule, enclosed herewith is the original signed declaration.

The undersigned hereby states that the application filed in the Patent and Trademark Office is the application which the inventor(s) executed by signing the declaration. MPEP 602 (8th ed., Aug. 2001).

The fee required for the late filing of an oath or declaration in the amount of \$130.00 is also enclosed.

Respectfully submitted,

FREGORY L. MAYBACK

REG/NO. 40,716

/mjb

Date: October 7, 2003 Lerner and Greenberg, P.A. Post Office Box 2480

Hollywood, FL 33022-2480 Tel: (954) 925-1100

Fax:

(954) 925-1101

130.00 OP

10/16/2003 SHINASS1 00000051 10653794

Degket No.: M&N-IT-426

COMBINED DECLARATION AND POWER OF ATTORNEY IN ORIGINAL APPLICATION

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LASER DIODE WITH VERTICAL RESONATOR AND METHOD FOR FABRICATING THE DIODE

described and claimed in the specification bearing that title, that I understand the content of the specification, that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR § 1.56, and that no application for patent or inventor's certificate of this invention has been filed earlier than the following in any country foreign to the United States prior to this application by me or my legal representatives or assigns:

International Application PCT/DE02/00337, filed January 25, 2002, the Priority of which is claimed under 35 U.S.C. § 120.

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

LAURENCE A. GREENBERG (Reg. No. 29,308) WERNER H. STEMER (Reg. No. 34,956) RALPH E. LOCHER (Reg. No. 41,947) GREGORY L. MAYBACK (Reg. No. 40,719)

Address all correspondence and telephone calls to:

LERNER AND GREENBERG, P.A. POST OFFICE BOX 2480 HOLLYWOOD, FLORIDA 33022-2480

Tel: (954) 925-1100 Fax: (954) 925-1101

I hereby state that I have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by any amendment referred to above. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST JOINT INVENTOR:		GUNTHER STEINLE
Guthe Sta		22. 3. 2003
Inventor's Signature		Date
Residence: MÜNCHEN, GERMANY		
Country of Citizenship:	GERMANY	
Post Office Address:	HANS-MIELICH-STRASS D-81543 MÜNCHEN GERMANY	SE 19
FULL NAME OF SECOND JOINT INVENTOR: HANS-DIETRICH WOLF		
from Ditrick h	Soel	Sept. 22, 2003 Date
Inventor's Signature		Date
Residence: HÖHENBRUNN, GERMANY		
Country of Citizenship: GERMANY AUSTRIA		
Post Office Address: HÖHENKIRCHENER STRASSE 40		

D-85662 HÖHENBRUNN

GERMANY